IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Gerald H. Negley

Application No.: To Be Assigned

Filed: Concurrently

For: SEMICONDUCTOR LIGHT EMITTING DEVICES INCLUDING FLEXIBLE FILM

HAVING THEREIN AN OPTICAL ELEMENT, AND METHODS OF ASSEMBLING

SAME

March 29, 2004

MAIL STOP PATENT APPLICATION Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)

Sir:

Attached is a list of documents on Form PTO-1449, together with a copy of any listed foreign patent document and/or non-patent literature. A copy of any listed U.S. patent and/or U.S. patent application publication is not provided herewith in accordance with the waiver by the U.S. Patent and Trademark Office of requirements under 37 C.F.R. § 1.98(a)(2)(i) for all U.S. national patent applications filed after June 30, 2003 and for all international applications that have entered the national stage under 35 USC § 371 after June 30, 2003. It is requested that these documents be considered by the Examiner and officially made of record in accordance with the provisions of 37 C.F.R. § 1.56 and Section 609 of the MPEP.

No fee is believed due. However, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 50-0220.

Respectfully submitted

Mitchell S. Bigel Registration No. 29,614

Myers Bigel Sibley & Sajovec, P.A. P. O. Box 37428, Raleigh, NC 27627

Telephone: (919) 854-1400 Facsimile: (919) 854-1401 Customer No. 20792

CERTIFICATE OF MAILING UNDER 37 CFR § 1.10

"Express Mail" mailing label number EV 381442446 US

Date of Deposit: March 29, 2004

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Mail Stop Patent Application. Commissioner of Patents, P.Q. Box 1450, Alexandria, VA 22313-1450.

Susan E. Freedman

Date of Signature: March 29, 2004

Substitute form 1449A/PTO				С	Complete if Known		
				Application Number	To Be Assigned		
INFORMATION DISCLOSURE				Filing Date	Concurrently		
STATEMENT BY APPLICANT				First Named Inventor	Gerald H. Negley		
				Group Art Unit			
(use as many sheets as necessary)				Examiner Name			
Sheet	1	of.	1	Attorney Docket Number	5308-386		

			U.	S. PA	TENT DOCUMENTS			
Examiner Initials*	Cite No. U.S. Patent Document Number Kind Code (if known)		Kind Code	of Cited Document Cited Docume		Date of Publication of Cited Document MM-DD-YYYY	ent Where Relevant Passages	
	1	US-2003/0006418	A1	·	Emerson et al.	01	/09/2003	
	2	US-2002/0123164	A1		Slater, Jr. et al.	09	/05/2002	
	3	US-6,252,254	B1		Soules et al.	06	/26/2001	
	4	US-6,201,262	B1		Edmond et al.	03	/17/2001	
	5	US-6,187,606	B1		Edmond et al.	02	/13/2001	
	6	US-6,120,600			Edmond et al.	09	/19/2000	
	7	US-6,075,200			O'Neill	06	3/13/2000	
·	8	US-6,069,440	1		Shimizu et al.	05	/30/2000	
	9	US-6,031,179	.		O'Neill	02	/29/2000	
	10	US-5,959,316	İ		Lowery	09	/28/1999	
	11	US-5,912,477			Negley	06	/15/1999	
	12	US-5,858,278			Itoh et al.	01	/12/1999	
	`13	US-5,813,753			Vriens et al.	09	/29/1998	
	14	US-5,739,554			Edmond et al.	04	/14/1998	
	15	US-5,631,190			Negley	05	5/20/1997	
	16	US-5,604,135			Edmond et al.	02	2/18/1997	
	17	US-5,523,589			Edmond et al.	06	6/04/1996	
	18	US-5,416,342			Edmond et al.	05	6/16/1995	
	19	US-5,393,993			Edmond et al.	02	2/28/1995	
	20	US-5,338,944			Edmond et al.	30	/16/1994	
	21	US-5,277,840			Osaka et al.	01	/11/1994	
	22	US-5,210,051			Carter, Jr.	05	/11/1993	
	23	US-5,027,168			Edmond	06	5/25/1991	
	24	US-4,966,862			Edmond	10)/30/1990	
	25	US-4,918,497			Edmond		/17/1990	
	26	US-4,711,972			O'Neill	12	/08/1987	
	27	US-4,545,366			O'Neill	10	0/08/1985	
	28	US-4,154,219			Gupta et al.	05	5/15/1979	

		OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published			
	29	Leung, Molded Chip Fabrication Method and Apparatus, 10/666,399, 09/18/2003			
	30	Slater, Jr. et al., Phosphor-Coated Light Emitting Diodes Including Tapered Sidewalls and Fabrication Methods Therefor, 10/659,241, 09/09/2003			
	31	Negley, et al., Transmissive Optical Elements Including Transparent Plastic Shell Having a Phosphor Dispersed Therein, and Methods of Fabricating Same, 10/659,240, 09/09/2003			
-	32	Negley et al., Solid Metal Block Mounting Substrates for Semiconductor Light Emitting Devices, and Oxidizing Methods for Fabricating Same, 10/659,108, 09/09/2003			
	33	Loh, Power Surface Mount Light Emitting Die Package, USSN 10/446,532, 05/27/2003			

Examiner Signature	Date Considered	
	Date Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.